



# Automatic Test Generation for N-way Combinatorial Testing

---

Changhai Nie

*changhainie@seu.edu.cn*

Dept. of Computer Sci. & Eng. Southeast University



# 1 Introduction

---

- Automatic test generation: select a small number of tests to cover all test aspects to the greatest degree.
- The combinatorial design approach for testing has been studied and applied widely for its efficiency and effectiveness with a quite small test suite.



# Combinatorial Testing

---

- R. Mandl defined the concept of **pair-wise testing** for the first time in 1985.
  - require that every combination of valid values of arbitrary two system parameters be covered by at least one test.
  - use the *Orthogonal Latin Squares* to generate tests in the Ada compiler testing.



# Combinatorial Testing

---

- D. Cohen, et al. provided the AETG system for pair-wise, triple, or  $n$ -way combinations of a system's parameters.
- Y. Lei, et al. offered PAIRWISE TEST.
- We proposed an algorithm for pair-wise testing based on the NETWORK model.
- Toshiaki Shiba et al. also suggested two different algorithms GA and ACA for  $n$ -way testing.



# Combinatorial Testing

---

- Because the problem of generating a minimum test suit for combinatorial testing is *NP-complete*<sup>[4]</sup>, none of these methods can assure that the generated test suites are the best.
- In most cases, the sizes of the test suites generated by them are approximately equal.



# Combinatorial Testing

---

- D.R. Kuhn et al. investigated the applicability of combinatorial testing. In their experiments:
  - about 20~40% software failures were caused by a certain value of one parameter,
  - about 20~40% software failures were caused by a certain combination of two parameters,
  - and about 20% software failures were caused by a certain combination of three parameters.



# N-way Combinatorial Testing

---

- Pair-wise testing is fit for most general applications.
- In some safety-critical situations, testers may require N-way Combinatorial testing:
  - every combination of valid values of arbitrary  $n$  ( $n \geq 2$ ) parameters be covered by at least one test.



## 2 Concepts of N-way Testing

---

- Suppose a system has  $k$  parameters, each of which has a suite of discrete values.
- In most conditions, it is too expensive to test all the combinations of all parameters.
- It is a more practical method to generate a small test suit to cover all the possibility to the greatest degree.





# Pair-wise Testing

---

- **Definition 1** Suppose  $A$  is a  $m \times k$  matrix, and column  $j$  represents parameter  $P_j$ , whose element is from finite symbol set  $T_j$  ( $j = 1, 2, \dots, k$ ). If column  $i$  and column  $j$  are arbitrary two columns in  $A$  that satisfy: all the combinations of symbols between set  $T_i$  and set  $T_j$  appear in the ordered pairs formed by column  $i$  and column  $j$ ,  $A$  is called a *pair-wise test table*, which satisfies *the pair-wise coverage criterion*. Every row of  $A$  is a piece of test, and  $m$  is the number of test cases.



# N-way Testing

---

- **Definition 2** Suppose  $A$  is a  $m \times k$  matrix, and column  $j$  represents parameter  $P_j$ , whose element is from finite symbol set  $T_j (j = 1, 2, \dots, k)$ . If column  $i_1, i_2, \dots, i_n$  are arbitrary  $n (2 \leq n \leq k)$  columns in  $A$  that satisfy: all the combinations of symbols between set  $T_1$ , set  $T_2, \dots, \text{set } T_n$  appear in the combinations formed by column  $i_1$ , column  $i_2, \dots, \text{column } i_n$ ,  $A$  is called a ***n-way test table***, which satisfies ***the n-way coverage criterion***. Every row of  $A$  is a piece of test, and  $m$  is the number of test cases.



# Theorem

---

- **Theorem 1** Suppose a system has  $k$  parameters  $P_1, P_2, \dots, P_k$ , and every parameter  $P_i$  has  $t_i (i = 1, 2, \dots, k)$  values, then there exists an  $n$ -way test table  $A$  whose rows satisfy:  $r \geq \max(t_{i_1} \times t_{i_2} \times \dots \times t_{i_n})$ ,  $(1 \leq i_1 \neq i_2 \dots \neq i_n \leq k)$ .



# Theorem

---

- **Theorem 2** Suppose a system has  $k$  parameters, and every parameter  $P_i$  has  $t_i$  ( $i = 1, 2, \dots, k$ ) values, where  $t_1 \geq t_2 \geq \dots \geq t_k$ , then the  $n$ -way test table  $A$  of the system has coverage  $p$  for the all combinations of arbitrary  $m$  ( $n \leq m \leq k$ ) parameters:

$$p \geq (t_1 \times t_2 \times \dots \times t_n) / (t_1 \times t_2 \times \dots \times t_m).$$



## 3 N-way Testing Algorithms

---

- We propose two different test generation algorithms based on the combinatorial design for the  $n$ -way testing.
  - Generate Tests using Greedy Algorithm (GA-N)
  - Generate Tests in Parameter Order (IPO-N)



## 3.1 Generate Tests using Greedy Algorithm (GA-N)

---

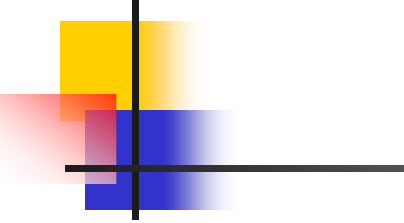
- This test generation method for  $n$ -way testing is extended from AETG for pair-wise testing.



# 3.1 Generate Tests using Greedy Algorithm (GA-N)

---

- Process (Greedy algorithm)
  - Firstly, construct a set *Uncover* that contains all the combinations of valid values of arbitrary  $n$  parameters.
  - Secondly, generate one test such that it can cover most combinations in *Uncover*. Add the test into the  $n$ -way test table  $M$ , and delete the combinations covered by it from *Uncover*.
  - Finally, generate tests continuously in the same way until *Uncover* is empty.



```

Input:  $T_1, T_2, \dots, T_k$ 
Output:  $n$ -way test table  $M$ 
begin
   $Uncover := \{(v_{i_1}, v_{i_2}, \dots, v_{i_n}) \mid v_{i_1} \in T_{i_1}, v_{i_2} \in T_{i_2}, \dots, v_{i_n} \in T_{i_n} \wedge i_1 \neq i_2 \neq \dots \neq i_n \wedge 1 \leq i_1, i_2, \dots, i_n \leq k\}$ ;
  while ( $Uncover$  is not empty)
    select a combination  $(p_{i_1}, p_{i_2}, \dots, p_{i_{n-1}})$  of parameters  $P_{i_1}, P_{i_2}, \dots, P_{i_{n-1}}$  that is covered by most elements in  $Uncover$ ;
     $P_1 := P_{i_1}, P_2 := P_{i_2}, \dots, P_{n-1} := P_{i_{n-1}}$ , and choose a random order for the remaining parameters;
     $v_1 := p_{i_1}, v_2 := p_{i_2}, \dots, v_{n-1} := p_{i_{n-1}}$ ; *This statement and the for-statement below generate a new test  $(v_1, v_2, \dots, v_k)$ 
    for  $i := n$  to  $k$  do //(1)
      for  $x := 1$  to  $t_i$  do //(2)
        construct  $count_x$  for parameter  $P_i$ 's value  $y_x (1 \leq x \leq t_i)$ , and initialize them by 0;
        select  $(n-1)$  values from  $v_1, v_2, \dots, v_{i-1}$  to form  $n$ -way combinations with  $y_x$ , and the number of the combinations is  $C_{i-1}^{n-1}$ ;
        check each combination one by one to determine whether it is an element of  $Uncover$ , and use  $count_x$  to record the number of combinations that are members of  $Uncover$ ;
      end for
      Select  $count_m = \max\{count_x \mid 1 \leq x \leq t_i\}$ ;
       $v_i := y_m$ ;
    end for
    add test  $(v_1, v_2, \dots, v_k)$  to  $M$ ;
    delete all the combinations covered by  $(v_1, v_2, \dots, v_k)$  from  $Uncover$ ;
  end while
end

```

**Fig. 1.** Greedy algorithm for  $n$ -way test table generation (GA-N)





## 3.2 Generate Tests in Parameter Order (IPO-N)

---

- This test generation method for  $n$ -way testing is extended from PAIRTEST for pair-wise testing



## 3.2 Generate Tests in Parameter Order (IPO-N)

---

### ■ Process

- Firstly, construct  $n$ -way test table  $M$  for the first  $n$  parameters.
- Secondly, extend  $M$  by another parameter to assure that every combination of valid values of  $n$  parameters in  $M$  is covered by at least one test.
  - (1) **horizontal growth**: extend each existing test by one value of the new parameter,
  - (2) **vertical growth**: add new tests to satisfy the  $n$ -way coverage criterion.
- Extend  $M$  continuously, until it contains all the parameters.

**Input:**  $T_1, T_2, \dots, T_k$

**Output:**  $n$ -way test table  $M$

**begin**

*//Construct  $M$  for the first  $n$  parameters*

$M := \{ (v_1, v_2, \dots, v_n) \mid v_1 \in T_1, v_2 \in T_2, \dots, v_n \in T_n \}$ ;

**for**  $i := n+1$  **to**  $k$  **do** *//(1) Extend  $M$  by other parameters*

$\pi := \{ (p_{m_1}, p_{m_2}, \dots, p_{m_{n-1}}, p_{m_n}) \mid p_{m_1} \in T_{m_1}, p_{m_2} \in T_{m_2}, \dots, p_{m_{n-1}} \in T_{m_{n-1}} \wedge m_1 \neq m_2 \neq \dots \neq m_{n-1} \wedge 1 \leq m_1, m_2, \dots, m_{n-1} \leq i-1 \wedge p_{m_n} \in T_i \}$ ;

**for**  $j := 1$  **to**  $|M|$  **do** *//(2) Horizontal growth*

**if** ( $\pi$  is not empty) **then**

$\pi' := \{ \}$ ;

**for each**  $v$  **in**  $T_i$  **do** *//(3)*

$\pi'' := \{ z \mid z \in \pi \wedge z \text{ is covered by the extended test } (v_1, v_2, \dots, v_{i-1}, v_i) \}$ ;

*//(4)*

**if** ( $|\pi''| \geq |\pi'|$ ) **then**

$\pi' := \pi''$ ;  $v' := v$ ;

**end if**

**end for**

extend the  $j$ -th test in  $M$  by  $v'$ :  $(v_1, v_2, \dots, v_{i-1})$  is extended to  $(v_1, v_2, \dots, v_{i-1}, v')$ ;

$\pi := \pi - \pi'$ ; *//(5)*

**else**

select  $v \in T_i$  arbitrarily, and extend the  $j$ -th test in  $M$  by  $v$ ;

**end if**

**end for**

**while** ( $\pi$  is not empty) **do** *//(6) Vertical growth*

generate one test  $t$  such that it can cover most combinations in  $\pi$ ;

add  $t$  into  $M$ , and delete all the combinations covered by  $t$  from  $\pi$ ;

**end while**

**end for**

**end**

**Fig. 2.**  $N$ -way test table generation In Parameter Order (IPO-N)



## 4 Effectiveness Analysis

---

- To evaluate the efficiency of the algorithms, we implemented two automatic test generators based on them.
- We experimented with a computer consisting of a PIII processor of 733Mhz, and obtained some empirical results.

# Empirical Data: IPO-N & GA-N

**Table 1.** Comparison between GA-N and IPO-N( $n=2$  or 3)

	$S_1$	$S_2$	$S_3$	$S_4$	$S_5$	$S_6$	$S_7$	$S_8$
GA-N	29	58	12	50	264	31	56	66
( $n=2$ )	1''	1''	1''	10''	6''	1''	4''	7''
IPO-N	22	55	10	21	275	33	47	36
( $n=2$ )	1''	1''	1''	3''	1''	1''	2''	2''
GA-N	103	375	22	305	5424	172	390	418
( $n=3$ )	3''	19''	1''	2539''	17767''	2''	1534''	1638''
IPO-N	86	288	19	128	2774	153	244	216
( $n=3$ )	1''	1''	1''	859''	904''	1''	296''	592''

$S_1: 3^{(13)}$ ;  $S_2: 5^{(10)}$ ;  $S_3: 2^{(10)}$ ;  $S_4: 2^{(100)}$ ;  $S_5: 10^{(20)}$ ;

$S_6: 5^{(3)} \times 4^{(3)} \times 3^{(1)} \times 2^{(2)}$ ;  $S_7: 4^{(15)} \times 3^{(17)} \times 2^{(29)}$ ;  $S_8: 4^{(1)} \times 3^{(39)} \times 2^{(35)}$ ;



# IPO-N Exceeds GA-N

---

- When  $n$  equals 2, the tests generated by GA-N are usually a few more than IPO-N, and the consumed time is also a little more, and the difference is not distinct.
- When  $n$  equals 3, the tests generated by GA-N are much more than IPO-N, and the consumed time is also more in evidence.
- Some other experiment results also show that IPO-N exceeds GA-N at the test number and the consumed time, when  $n$  is above 3.



# Empirical Data: Related Methods

**Table 2.** Comparison with existing algorithms ( $n=3$ )

	$X_1$	$X_2$	$X_3$	$X_4$	$X_5$	$X_6$	$X_7$	$X_8$
AETG	38	77	194	330	1473	218	114	377
GA	33	64	125	331	1501	218	108	360
ACA	33	64	125	330	1496	218	106	361
GA-N	52	85	223	389	1769	366	120	373
IPO-N	47	64	173	271	1102	199	113	368

$X_1: 3^{(6)}$ ;  $X_2: 4^{(6)}$ ;  $X_3: 5^{(6)}$ ;  $X_4: 6^{(6)}$ ;  $X_5: 10^{(6)}$ ;  $X_6: 5^{(7)}$ ;  
 $X_7: 5^{(2)} \times 4^{(2)} \times 3^{(2)}$ ;  $X_8: 10^{(1)} \times 6^{(2)} \times 4^{(3)} \times 3^{(1)}$ .



# IPO-N Performs Well

---

- The experimental results also suggest that GA-N need to be optimized carefully.
- IPO-N can generate the smallest test suites for some systems such as  $X_2$ ,  $X_4$ ,  $X_5$  and  $X_6$ , and in other cases the sizes of test suites generated by IPO-N are only a bit more than the smallest ones.
- These results seem to indicate that IPO-N is an effective algorithm, which performs well with respect to the size of generated test suites.





# 5 Conclusions

---

- We propose two different test generation algorithms based on combinatorial design approaches for the  $n$ -way combination testing, and suggest the algorithm IPO-N may be more feasible based on the empirical results.



## 5 Conclusions

---

- As shown in Table 1, with the increase of  $n$ , the number of tests increases rapidly, and the time consumed for the test generation grows sharply.
- We are searching novel algorithms for the  $n$ -way combination testing to improve the performance of test generators.



Thanks. Any Question?

---

Changhai Nie

*changhainie@seu.edu.cn*

Dept. of Computer Sci. & Eng. Southeast University