

Supplementary information:

Quantifying the impact of charge rate and number of cycles on structural degeneration of Li-ion battery electrodes

Orkun Furat^{1,*}, Donal P. Finegan^{2,*}, Zhenzhen Yang³, Tanvir R. Tanim⁴, Kandler Smith², Volker Schmidt¹

¹*Institute of Stochastics, Ulm University, Helmholtzstraße 18, 89069 Ulm, Germany*

²*National Renewable Energy Laboratory, 15013 Denver W Parkway, Golden, CO 80401, USA*

³*Chemical Sciences and Engineering Division, Argonne National Laboratory, 9700 S. Cass Avenue, Lemont, IL 60439, USA*

⁴*Idaho National Laboratory, 2525 N. Fremont, Idaho Falls, ID 83415, USA*

Supplementary Note S1: Particle porosity across the cathode thickness

In order to investigate whether the crack development depends on the position within the cathode, we determine the local particle porosity as a function of the distance to the separator for pristine cathodes and each degradation scenario (i, j) with $i \in \{1C, 6C, 9C\}$, $j \in \{25, 225, 600\}$.

For the $m = 9$ SEM images of pristine cathodes we use the functions $\text{surf}_{\text{cathode}}^{(i)}$ and $\text{surf}_{\text{separator}}^{(i)}$ to determine whether a pixel lies between the surface of the cathode and the separator for each $i = 1, \dots, m$. More precisely, a pixel $x = (x_1, x_2) \in W$ lies between these surfaces of the i -th image if $\text{surf}_{\text{separator}}^{(i)}(x_1) < x_2 < \text{surf}_{\text{cathode}}^{(i)}(x_1)$. On the other hand, a pixel x is associated with the separator or the area outside of the cathode if $x_2 \leq \text{surf}_{\text{separator}}^{(i)}(x_1)$ or $x_2 \geq \text{surf}_{\text{cathode}}^{(i)}(x_1)$ holds, respectively. Therefore, we can extend the phase-wise segmentation $S^{(i)}: W \rightarrow \{0, 1, 2\}$ (which takes the values 0, 1 or 2 for pixels associated with background, particles or cracks, respectively) with additional labels for the separator and the area outside the cathode (namely, the labels 3 and 4). More precisely, the extended phase-wise segmentation $S_{\text{extend}}^{(i)}: W \rightarrow \{0, 1, 2, 3, 4\}$ is given by

$$S_{\text{extend}}^{(i)}(x) = \begin{cases} 3, & \text{if } x_2 \leq \text{surf}_{\text{separator}}^{(i)}(x_1), \\ 4, & \text{if } x_2 \geq \text{surf}_{\text{cathode}}^{(i)}(x_1), \\ S^{(i)}(x), & \text{else,} \end{cases} \quad (\text{S1})$$

for each $x = (x_1, x_2) \in W$. Then, we can determine for each pixel $x \in W$ its distance $d_x^{(i)}$ to the separator by

$$d_x^{(i)} = p \min_{y \in W: S_{\text{extend}}^{(i)}(y) = 3} \|x - y\|, \quad (\text{S2})$$

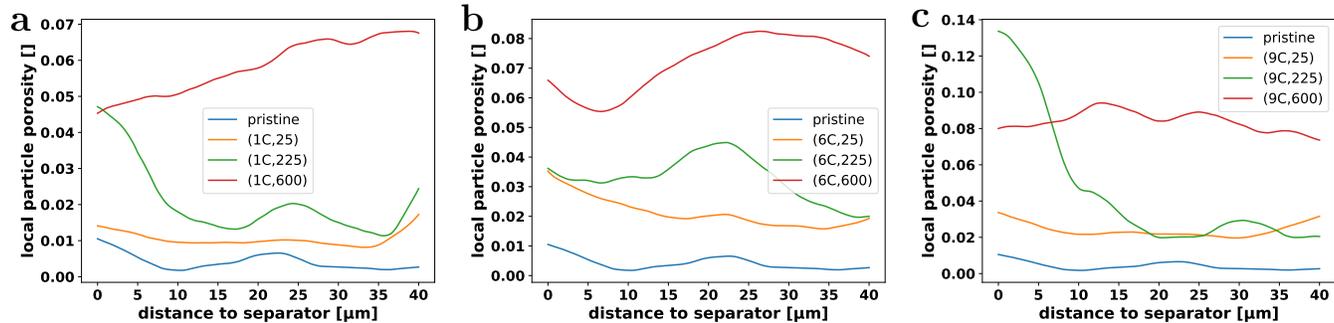
where $p = 0.01 \mu\text{m}$ denotes the pixel size. Note that the local porosity of a pixel $x \in W$ with $S_{\text{extend}}^{(i)}(x) = 2$, i.e., a pixel associated with the crack phase is given by 1. Thus, the set of pairs of distances to the separator and local porosity values of such pixels is given by $\{(d_x^{(i)}, 1): x \in W, S_{\text{extend}}^{(i)}(x) = 2\}$. On the other hand, the set of such pairs for pixels associated with the particle phase is given by $\{(d_x^{(i)}, 0): x \in W, S_{\text{extend}}^{(i)}(x) = 1\}$. By considering the union of these sets for each $i = 1, \dots, m$, we obtain a data set $\mathcal{Z}_{\text{pristine}}$ consisting of pairs of local porosities and the distances to the separator, i.e., $\mathcal{Z}_{\text{pristine}}$ is given by

$$\mathcal{Z}_{\text{pristine}} = \bigcup_{i=1}^m \left(\{(d_x^{(i)}, 1): x \in W, S_{\text{extend}}^{(i)}(x) = 2\} \cup \{(d_x^{(i)}, 0): x \in W, S_{\text{extend}}^{(i)}(x) = 1\} \right). \quad (\text{S3})$$

By deploying Nadaraya-Watson kernel regression on the dataset $\mathcal{Z}_{\text{pristine}}$ we obtain a regression function for the local porosity in dependence of the distance to the separator, see Figure S1.³⁴ Analogously, the dataset $\mathcal{Z}_{i,j}$ and the corresponding regression function is determined for each degradation scenario (i, j) with $i \in \{1C, 6C, 9C\}$, $j \in \{25, 225, 600\}$.

*Corresponding authors

Email addresses: orkun.furat@uni-ulm.de (Orkun Furat), donal.finegan@nrel.gov (Donal P. Finegan)



Supplementary Figure S1: Particle porosity as a function of the distance to the separator for pristine cathodes (blue lines) and the degradation scenarios with a charge rate of 1C (a), 6C (b) and 9C (c).

References

³⁴ Wand, M. P. and Jones, M. C. *Kernel Smoothing*. Chapman & Hall, London, (1995).