

Article

Preparation and Characterization of Li-ion Graphite Anodes using Synchrotron Tomography

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Version May 26, 2014 submitted to *Materials*. Typeset by \LaTeX using class file *mdpi.cls*

1 **Abstract:** We present an approach for multi-layer preparation to perform microstructure
2 analysis of Li-ion cell anode active material using synchrotron tomography. All necessary
3 steps from disassembly of differently housed cells (pouch and cylindrical), via selection
4 of interesting layer regions to separation of graphite-compound and current collector are
5 described in detail. The proposed stacking method improves the efficiency of synchrotron
6 tomography by measuring up to ten layers in parallel, without loss of image resolution
7 nor quality, resulting in a maximization of acquired data. Additionally we perform an
8 analysis of the obtained 3D volumes by calculating microstructural characteristics like
9 porosity, tortuosity and specific surface area. Due to a large amount of measurable layers
10 within one stacked sample, differences between aged and pristine material (e.g. significant
11 differences in tortuosity and specific surface area while porosity remains constant) as well as
12 homogeneity of the material within one cell could be recognized.

13 **Keywords:** graphite; synchrotron tomography; Li-ion; preparation; degradation; porosity;
14 tortuosity; multi-layer

15 **1. Introduction**

16 In recent times electrochemical energy storage is becoming more important, especially for usage in
17 e-mobility applications such as pure electrical, plug-in-hybrid or mild-hybrid vehicles. The requirements
18 regarding long-life (usage time ≥ 10 years) and high energy density are dominantly fulfilled by Li-ion
19 cells. Furthermore due to their complicated ageing behavior [1,2] they are in focus of many researchers
20 to gain better understanding of the ageing process.

21 During lifetime of Li-ion cells a lot of ageing mechanisms [1,2] occur which affect different
22 components like anode or cathode active material, separator, current-collector or electrolyte. These
23 mechanisms interact in a very complex way. Notably graphite, which is mainly used as anode material,
24 is involved in many ageing processes [1]. Literature dominantly shows well-known but only partly
25 understood mechanisms like growth of SEI (solid electrolyte interface) at the boundary between graphite
26 particles and electrolyte [3–5], lithium deposition caused by high current or low temperature charging
27 [6–8], micro-cracking of graphite-particles caused by massive electrical usage [9] and structural changes
28 of anode active material due to multiple reasons.

29 To get a closer insight into microstructure of anode active material we use synchrotron tomography
30 [10–19]. Besides the qualitative impressions that one can get from visual inspection of tomographic 3D
31 images we calculate structural characteristics of the samples to obtain a quantitative statement of the
32 state of the material samples. In particular, we look at the spherical contact distribution function for the
33 graphite material, which is closely related to the diffusive behavior of the graphite phase. Furthermore,
34 we calculate the tortuosity that is an important characteristic related to the transport of ions in porous
35 media [20]. A change in the tortuosity for degraded material can be explained by cracks and fractures in
36 the structure.

37 The paper is organized as follows. In Section 2 we give an overview of the necessary steps for
38 the disassembling of different types of Li-ion cells. Furthermore, a promising approach of surface
39 modification for graphite to qualify the presence of lithium deposition is introduced in Section 2.4.
40 Accordingly we discuss the preparation of samples to stacks to improve the efficiency of synchrotron
41 tomography in Section 3. Up to ten samples can be stacked together, in order to be measured in parallel.
42 The experimental setup, i.e., the samples that are extracted from pristine and aged cells as well as
43 the necessary post-processing methods, like reconstruction and binarization are presented in Section 4.
44 Finally, in Section 5 we discuss the results of several structural analyses like the calculation of tortuosity
45 and spherical contact distribution functions. These analysis methods allow a quantitative description of
46 the samples and show the potential of synchrotron tomography in combination with refined preparation
47 techniques as a valuable tool for the investigation and characterization of functional materials.

48 2. Extraction of Samples

49 In this section we describe the procedure to obtain anode samples from different types of Li-ion cells
50 for structural analysis. For purposes of comparability all analyzed cells were discharged to 0 % SOC
51 (state-of-charge) using CC-CV (constant current constant voltage) discharge procedure with the cut-off
52 voltage given in the datasheet delivered by the manufacturer. The method for extraction of samples from
53 anode material will be described in detail.

54 2.1. Cell Disassembly

55 To minimize degradation caused by the presence of oxygen and humidity we disassembled all cells
56 in a glovebox (mBraun MB-200B, $H_2O < 4$ ppm, $O_2 < 4$ ppm).

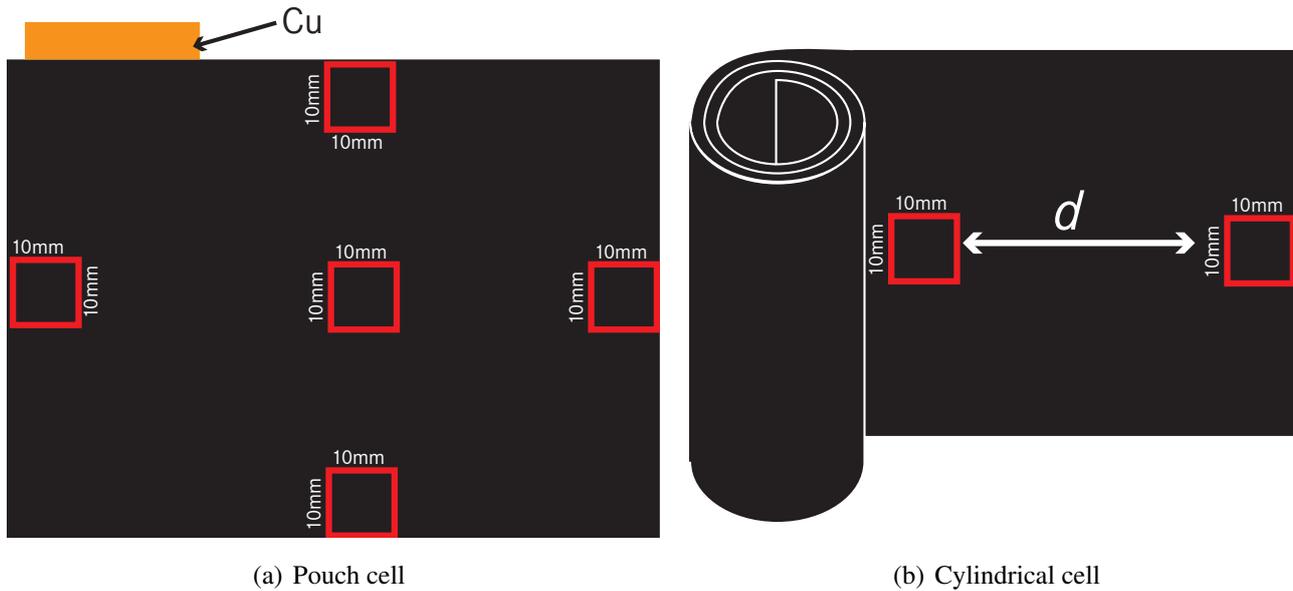
57 Automotive Li-ion pouch cells were opened with a ceramic scalpel to avoid unwanted shorts and
58 further structural changes. After removal of the upper pouch foil the electrodes can be separated and
59 analyzed optically.

60 The aluminium housing of cylindrical cells was sliced next to the positive terminal using a
61 self-constructed tool. Then the positive terminal and its connection to cathode active material were
62 separately disassembled. Finally the aluminium case has to be rolled down using a small pliers. To
63 ensure non-destructive disassembly we controlled the temperature of the cell as the best indicator for
64 shorts. The used setup consists of a PT100 thermal-resistor connected to a PicoTechnology® PT-104 data
65 logger visualized by a common notebook. If temperature exceeded $35^\circ C$ we did not use the cell for
66 further analysis.

67 2.2. Sample Selection

68 As shown in Figure 1(a) from one pouch cell we extracted multiple samples with a size of 10 mm
69 x 10 mm using a ceramic scalpel. In case of cylindrical cells several equally-sized (10 mm x 10 mm)
70 samples were sliced from equidistant intervals d of the jellyroll, see Figure 1(b).

71 Subsequently all samples were washed with dimethyl carbonate (DMC).

Figure 1. Anode sample selection from different type of cells

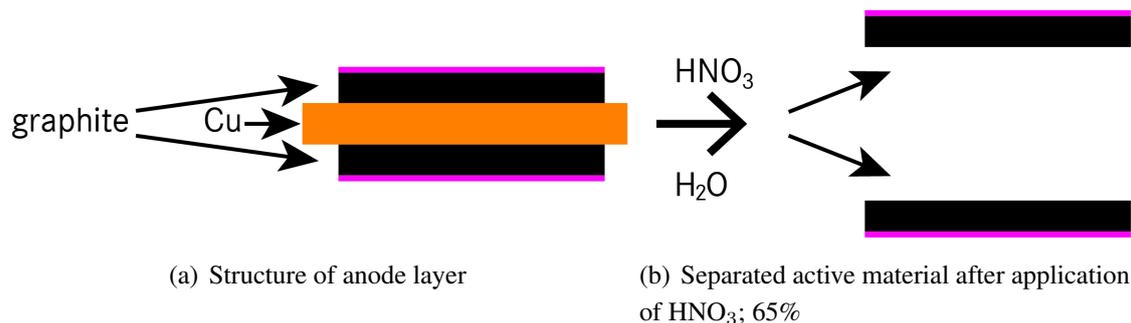
72 2.3. Separation of Graphite Layers

73 The structure of an anode layer used in Li-ion cells usually consists of a copper foil coated with a
 74 mixture of graphite and binder on both sides, see Figure 2(a). Metals like copper have a high density and
 75 therefore X-ray beams used in synchrotron tomography are not able to pass through. To sustain better
 76 image quality from anode sample it is mandatory to separate copper foil and graphite layers. Three
 77 different methods were compared. An overview is shown in Table 1.

Table 1. Methods for separation of graphite from copper foil

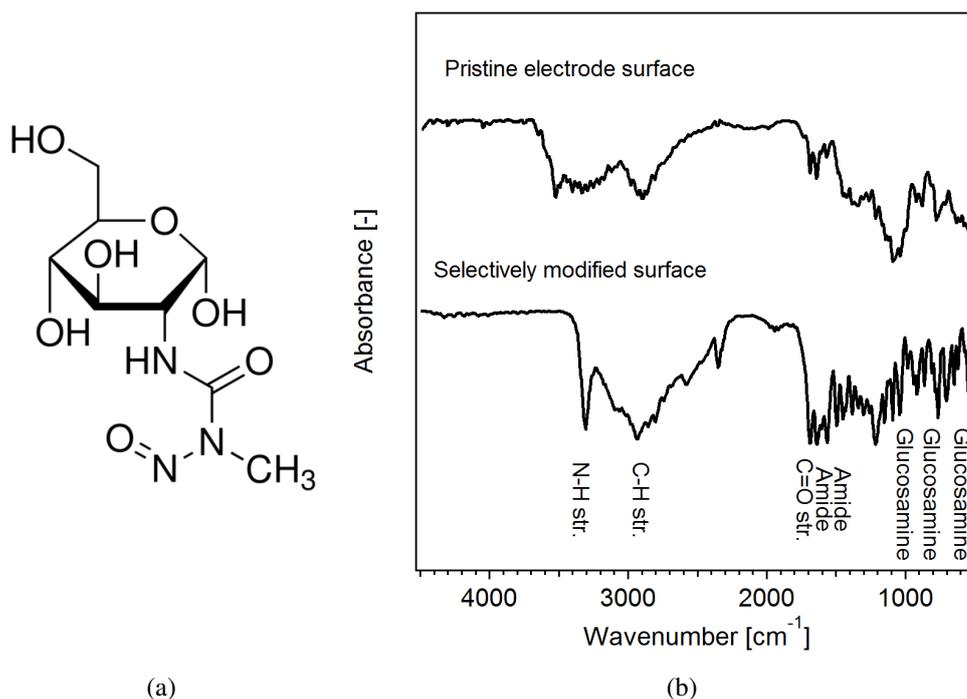
| tested methods | sample size | shape |
|--|-------------|---------|
| scrape off Cu | undefined | crimped |
| freeze with N ₂ and scrape off Cu-metal | undefined | crimped |
| chemical treatment with (HNO ₃ ; 65%) | defined | flat |

78 To achieve reproducible results, defined sample sizes and flat shapes are essential. Therefore chemical
 79 treatment using nitric acid (HNO₃; 65%) yielded the best graphite monolayer [10,21]. Depending on the
 80 thickness of monolayers, type of degradation (e.g. lithium deposition) and binder used by manufacturer
 81 we received the best samples using 5 ml of demineralized water and three to ten drops of HNO₃ resulting
 82 in a dilute nitric acid (2 – 6%) solution. After 5 – 30 s the copper foil dissolved. Both graphite layers
 83 were washed twice with demineralized water and once using propane-2-ol (C₃H₇OH), while constantly
 84 paying attention to the orientation of the layers (see pink markers in Figure 2(b)). Finally the separated
 85 layers were stored on a small sheet of paper for at least 10 min in order to dry.

Figure 2. Separation of two graphite layers out of one sliced sample86 **2.4. Surface Modification**

87 Metallic lithium which was formed as a result of electrochemical plating during cycling of an
 88 electrode is not visible in neutron-diffraction experiments. But there are some paths to enhance the
 89 visibility by adding complexes and/or different metal-ions on the metallic lithium parts. With a surface
 90 modification using e.g. glucosamines the metallic lithium deposition can be made visible in neutron
 91 experiments.

92 Deposition of metallic lithium is primarily a diffusion triggered process. To verify the proposed
 93 surface modification procedure using glucosamines the cells were cycled 20 times (1 C charge- and 1 C
 94 discharge current at potential range 3 V – 4.2 V) at ambient temperature of $-10\text{ }^\circ\text{C}$ to ensure the presence
 95 of metallic lithium on anode surface.

Figure 3. (a) *N*-(Methylnitrosocarbamoyl)- α -D-glucosamine - (b) FT-IR spectra of a pristine electrode and the surface modified parts which exhibited metallic lithium plating

96 The additives were used with a slight excess to ensure a homogeneous coating of the lithium-plated
97 parts of the electrode. A homogeneous coating proved to be essential for the detailed investigation of the
98 surface.

99 *N*-(Methylnitrosocarbamoyl)- α -D-glucosamine (STZ; Sigma-Aldrich, see Figure 3(a)) was used for
100 the selective modification of the surfaces ex-situ. A solution of STZ in DMC (1M) was prepared in an
101 argon-filled glovebox. About 2 wt.-% of a solution of predispersed surfactants (Triton X-109, Triton
102 X-209; 1:1 by volume) in EC:DMC (1:1 by volume, 10 wt.-%) were added with stirring. This solution
103 could be directly added into the electrolyte between the electrodes. For a homogeneous mixing of the
104 additive with the electrolyte and to ensure a homogeneous wetting of the electrodes it is important to
105 allow a standing time of about 30 min after the injection of STZ-solution was completed. An adjacent
106 heating step (38 °C, 15 min) initiated the surface modification. This process is schematically shown in
107 Figure 4. Note that no electrochemical cycling was performed after the additive was added. This is
108 the reason for the low electrochemical stability of the glucosamine, while the stability at open circuit
109 potential is high enough for a safe preparation of the samples.

Figure 4. Schematic surface modification process



110 FT-IR microscopy was applied for the investigation of the influence of the surface modification at
111 lateral resolution, where a HJY LabRAM HR with FT-IR module was used.

112 While the upper spectrum of Figure 3(b) displays typical bands of the as-prepared electrode including
113 parts with metallic lithium, the lower spectrum in Figure 3(b) exhibits carbonyl peaks ($C = O$) at
114 1628 cm^{-1} and hydroxyl peaks ($C - H$) at about 3304 cm^{-1} . Significant differences could be observed
115 between parts of the electrode where lithium-plating and pristine parts occurred. With an adjacent
116 mapping technique larger areas of electrodes (about $1.5\text{ cm} \times 1.2\text{ cm}$) were investigated to validate the
117 surface modifying effect of STZ. The LC-MS analysis of the electrolyte showed that the consumption of
118 consumed STZ could be correlated very well with the amount of metallic lithium which was deposited
119 onto the surface of the electrodes.

120 3. Multilayer Preparation

121 Synchrotron tomography is a useful tool to obtain microstructural characteristics of Li-ion anode
 122 material. To maximize the efficiency we prepared the anode samples in a multilayer stack. This gives
 123 us the opportunity to compare different kinds of aged cells, various anode materials from different
 124 manufactures and verify the homogeneity of the production processes.

125 Therefore our approach is to stack the anode layers to measure several samples in parallel. This
 126 means that we obtain one image for all samples inside one stack. Hence the anode samples inside one
 127 stack have to be divided sharply with a separator layer in between. The additional layers have to feature
 128 a non-particle based microstructure for good visibility and contrast against graphite. In this work we
 129 investigate the influence of different separation materials and stacking properties.

130 3.1. Separation Materials

131 Focusing on the microstructure the following materials were selected:

- 132 1. adhesive tape (lattice structure of backing film)
- 133 2. Li-ion separator materials (microporous polymer membrane [22])
- 134 3. cellulose papers (fabric structure)

135 Beside the discussed microstructural properties above we identified the following characteristics
 136 which are important for a promising stacking preparation: 1) thickness, 2) stability of the stack, 3)
 137 stickiness and 4) sliceability.

138 The properties of the investigated materials are summarized in Table 2.

Table 2. Overview of separation materials and stack properties

| material | separator thickness | max. layers* | stability of stack | stickiness | | sliceability |
|---------------------------|------------------------|-----------------|-----------------------|------------|----------|--------------|
| | | | | add. glue | property | |
| single side adhesive tape | 45 μm | 9 | - | no | no | ++ |
| double side adhesive tape | 35 μm | 10 | ++ | no | no | -- |
| Celgard(r) 2325 | 25 μm | 11 | -- | yes | primer | 0 |
| Celgard(r) 2400 | 25 μm | 11 | -- | yes | primer | 0 |
| Celgard(r) 2500 | 25 μm | 11 | -- | yes | primer | 0 |
| Greaseproof paper | 60 μm | 7 | ++ | yes | good | + |
| Wrapping tissue | 35 μm | 10 | + | yes | good | + |
| reprographic paper | 100 μm | 5 | ++ | yes | good | + |

*: assuming an anode-thickness of 60 μm

139 3.2. Layer Stacking

140 To realize a good resolution the optimal sample dimensions for synchrotron tomography should be
141 a cylinder (\varnothing 1 mm, h: 1 mm). Thus, the thickness of the complete stack can be calculated using the
142 following formula:

$$d_{\text{Stack}} = n \cdot d_{\text{anode-layer}} + (n + 1) \cdot d_{\text{separation}}$$

143 with n number of anode samples per stack, $d_{\text{anode-layer}}$ thickness of anode layer and $d_{\text{separation}}$ thickness
144 of separation material.

145 Generally stacking was performed by alternating separation layers (25 mm x 25 mm) and anode
146 samples (10 mm x 10 mm). At the bottom and the top of the stack a separation layer is essential to
147 ensure stability. A maximum overlap occurs between all anode samples inside one stack. Furthermore it
148 is important to ensure the correct orientation (see Figure 2(b), pink marker) of each layer.

149 Note that for stack preparation using Li-ion separator materials and cellulose papers additional rapid
150 glue (LocTite® 4850) based on cyanacrylates was used. Each stack was marked on the top and stored
151 for 24 h.

152 We assume that there is no effect on electrode morphology using cyanacrylates based glue. This
153 was confirmed by comparison of adhesive tape and glue based preparation methods - no significant
154 differences could be noticed.

155 3.3. Stack Slicing and Final Setup

156 As described above the final geometry of the anode stack should be cylindric. To achieve an
157 approximation of 1 mm in diameter we applied a rectangular shape. By using Pythagorean theorem
158 the length of the edge was calculated to 0.7 mm. The sequence of the slices is shown in Figure 5(a).

159 Afterwards we were able to monitor the size of the stack by using an optical microscope (Leica) or
160 SEM imaging, see Figure 6. Finally the prepared anode stack was fixed on a specific sample holder with
161 a little amount of hot or rapid glue to perform synchrotron measurement. Figure 5(b) shows the final
162 probe, which was applied to the tomography setup.

Figure 5. (a) Necessary cuts of stacked probe to realize final geometrics, (b) Final preparation setup

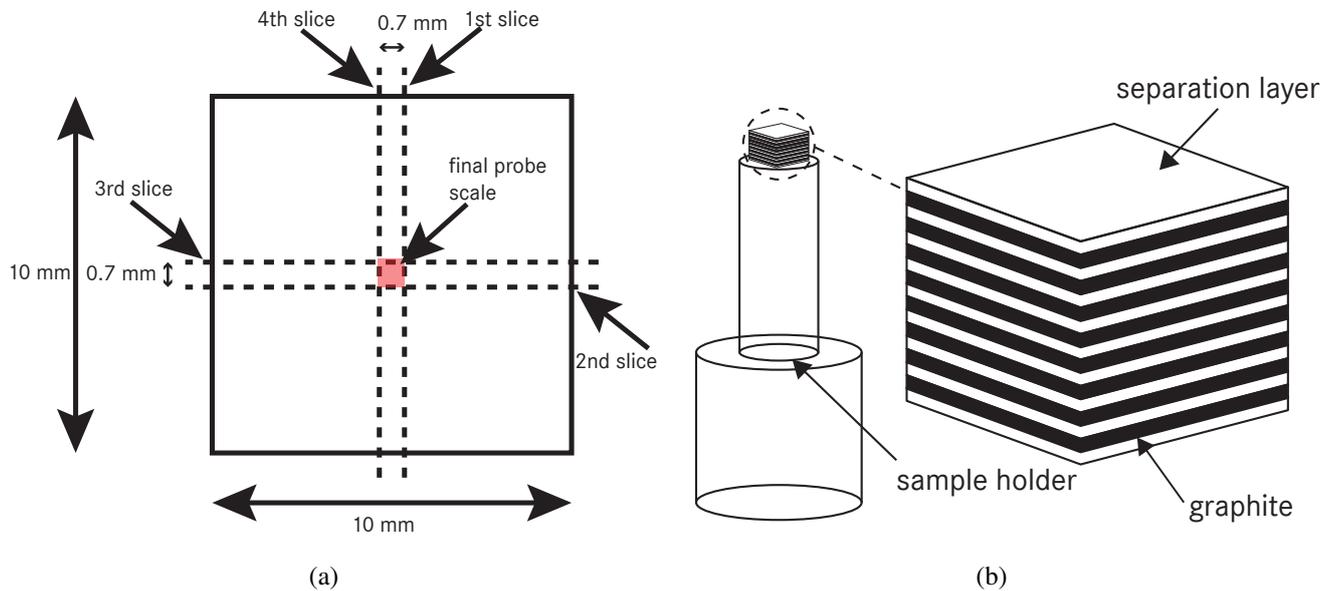
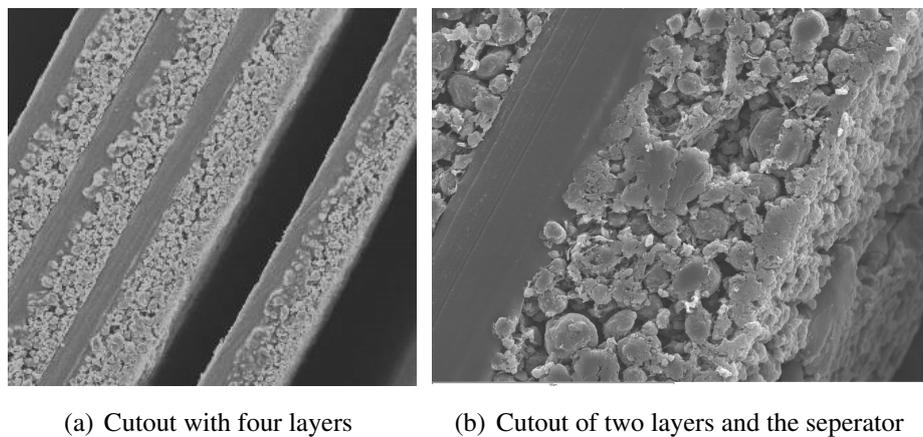


Figure 6. Through plane SEM images of a prepared anode stack



163 3.4. Discussion

164 The experimental results showed significant differences among the investigated separation material
165 groups, see Table 2.

166 Double side adhesive tape showed a thickness of 35 μm and the maximum number of anode layer was
167 obtained. The stability was very high, but due to a missing carrier a stack made from this material could
168 not be sliced. Single side adhesive tape exhibits opposite behaviour.

169 Stacks consisting of microporous polymer mebranes led to the highest number of anode layers.
170 However an additional primer (LocTite® 770) was required, because of poor adhesive properties of
171 polypropylene (PP) and polyethylene (PE). Despite application of primer the stability of the anode layer
172 stack was not sufficient.

173 The three investigated cellulose papers showed very good stacking and slicing characteristics -
174 only differing in thickness and therefore in the amount of maximum anode layers per stack. As the
175 best compromise between maximum number of layers and stability we selected greaseproof paper as
176 separation material for all further stack preparations.

177 4. Experimental

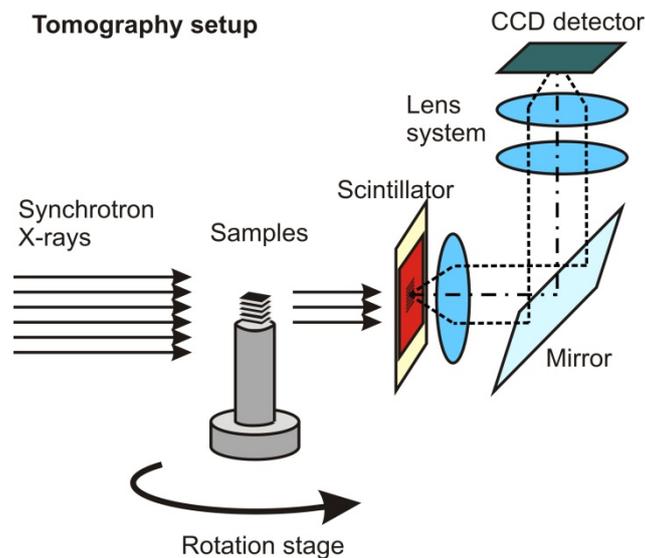
178 4.1. Synchrotron Tomography

179 The synchrotron X-ray tomography measurements were performed at the imaging station of the
 180 BAMline [23,24]. The facility is located at the electron storage ring BESSY II at Helmholtz Centre
 181 Berlin. A monochromatic synchrotron beam at an energy level of 19 keV was obtained by a W-Si
 182 multilayer monochromator with an energy resolution of about $\Delta E/E = 10^{-2}$. The X-ray energy was
 183 adapted to the thickness and absorption properties of the investigated samples. It was found that 19 keV
 184 is a good compromise between transmission intensity and contrast. A CWO scintillator with a thickness
 185 of 50 μm was used to convert the X-rays into visible light. A PCO camera with a 4008 x 2672 pixel
 186 CCD-chip was employed to capture the images. An optical setup ("Optique-Peter") was used to transfer
 187 the light onto the CCD chip of the camera system [25], see Figure 7.

188 The used pixel size was 0.44 μm and the achieved spatial resolution about 1 μm . The field of view
 189 was about $1.7 \times 1.2 \text{ mm}^2$.

190 A set of 2200 radiographic images were taken from the samples over an angular range of 180°.
 191 Additionally 230 flat field images (i.e. without sample) were taken. After subtraction of the dark field
 192 signal the radiographic projections were divided by the flat field images in order to obtain bright field
 193 corrected (normalized) images (see Figure 8(a)). Exposure time for each radiographic projection was
 194 3 s. Time for a complete tomographic measurement was about three hours.

Figure 7. Setup used for synchrotron tomography



195 A proper normalization provides the transmission of X-rays through the sample according to the
 196 Beer-Lambert law:

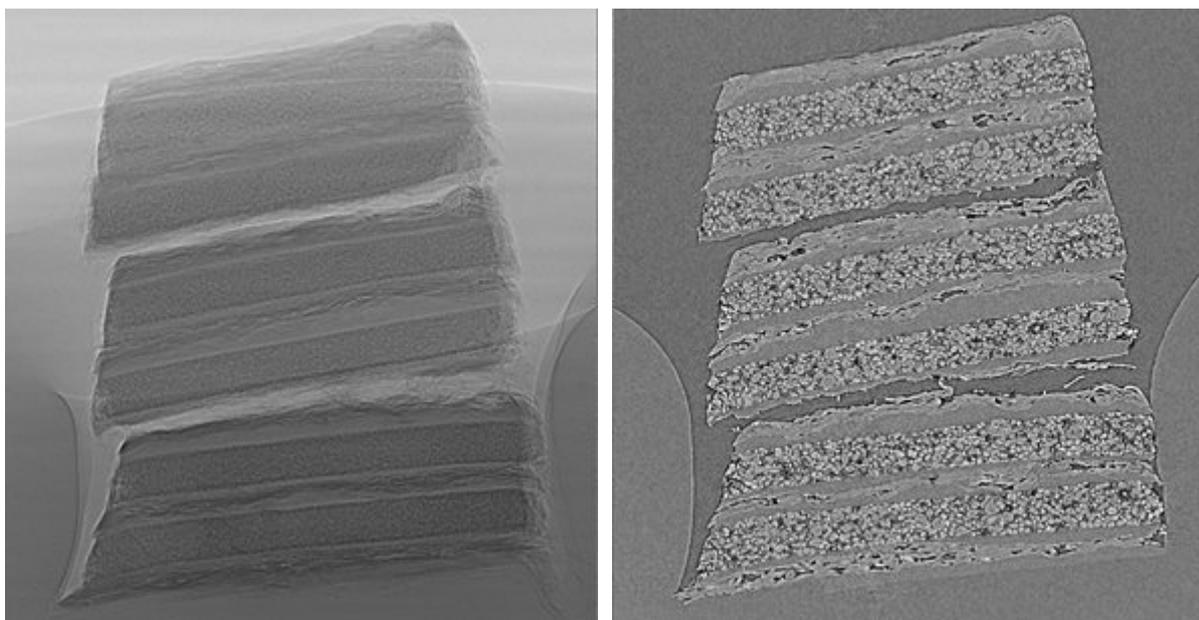
$$\frac{I}{I_0} = e^{-\sum \mu \cdot d}$$

197 Here I_0 and I denote the intensity of the beam in front of and behind the sample, d the transmitted
198 distance through a certain material and μ the linear attenuation coefficient of that material at the used
199 X-ray energy.

200 4.2. Data Post-Processing

201 The information of the transmission was used for the three dimensional reconstruction of the
202 attenuation coefficients of each voxel in the sample volume. This was done with a standard algorithm,
203 the filtered-back projection [26]. Therefore the images were projected back into the volume according
204 to the projection angle. This was applied for all angular steps. As a result the object would have been
205 blurry. To avoid this, a high pass filter was applied to each projection in the horizontal frequency domain
206 (Hamming filter) before back-projection. A vertical slice through the reconstructed volume is shown in
207 Figure 8(b).

Figure 8. 2D images of a sample stack



(a) Radiographic projection image

(b) Reconstructed image

208 Since the contrast in the 3D synchrotron images is very high, we binarized those by global
209 thresholding [27,28]. The 8-bit grayscale threshold is chosen to 32 for pristine and 72 for the degraded
210 electrodes in order to obtain reasonable porosities between $[0.22, 0.26]$ for the samples. Figure 10 shows
211 the effect of binarization.

212 5. Structural Analysis

213 In this section we compute several structural characteristics for images of Li-ion cells obtained by
 214 the preparation and visualization methods discussed in Sections 2 - 4. This enables us to perform
 215 a quantitative comparison and discussion of different electrode samples. Note that the considered
 216 characteristics are known to be linked to the functionality of graphite electrodes. The analysis addresses
 217 two main questions that play an important role in the investigation of Li-ion cells:

- 218 1. Can the microstructure of graphite be regarded as statistically homogeneous over the whole cell?
- 219 2. Can the influence of ageing on microstructure of graphite be characterized?

220 To answer these questions we take three scenarios into account where two synchrotron images for
 221 each scenario are considered. In particular, the scenarios are

- 222 (i) pristine material from the center of the cell,
- 223 (ii) pristine material from the edge of the cell,
- 224 (iii) degraded material from the center of the cell.

225 In the following, we denote the binary images considered for the scenarios (i)-(iii) by
 226 $P_C^1, P_C^2, P_E^1, P_E^2, D_C^1$ and D_C^2 where P (D) stands for pristine (degraded) electrodes and C (E) for cutouts
 227 at center (edge) regions, cf. Section 2 and Figure 1(a). Recall that these images are gained as described
 228 in Sections 2 - 4. For a sample of each of the three groups see Figure 10. Note that the considered cutouts
 229 have approximately the same dimension of $660 \times 550 \times 50 \mu\text{m}^3$.

230 The samples analyzed in this section are extracted out of a big sized automotive EV pouch cell (50 Ah)
 231 nominal capacity, NMC-cathode, potential range 3 V – 4.2 V). Degraded cell was heavily cycled for
 232 about nine months with a time-scaled realistic load profile (see Figure 9) similar to usage in a purely
 233 electric vehicle at ambient temperature of 25 °C. The final cell capacity was 70% of the initial capacity,
 234 measured with a 1 C-discharge-current ($1 \text{ C} = \frac{\text{Capacity}_{\text{nom}}}{1 \text{ h}}$).

Figure 9. Current profile applied to cyclically degraded cell

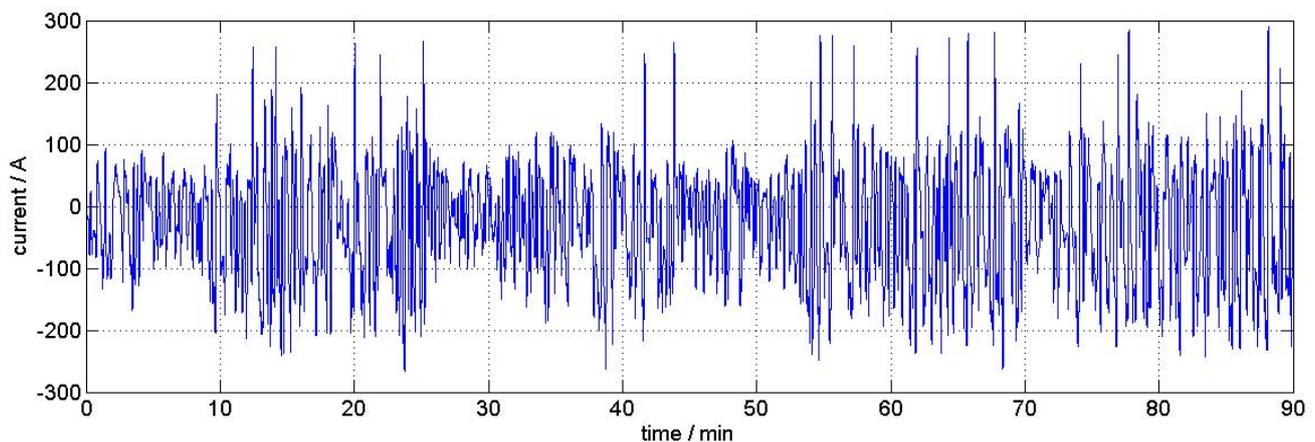
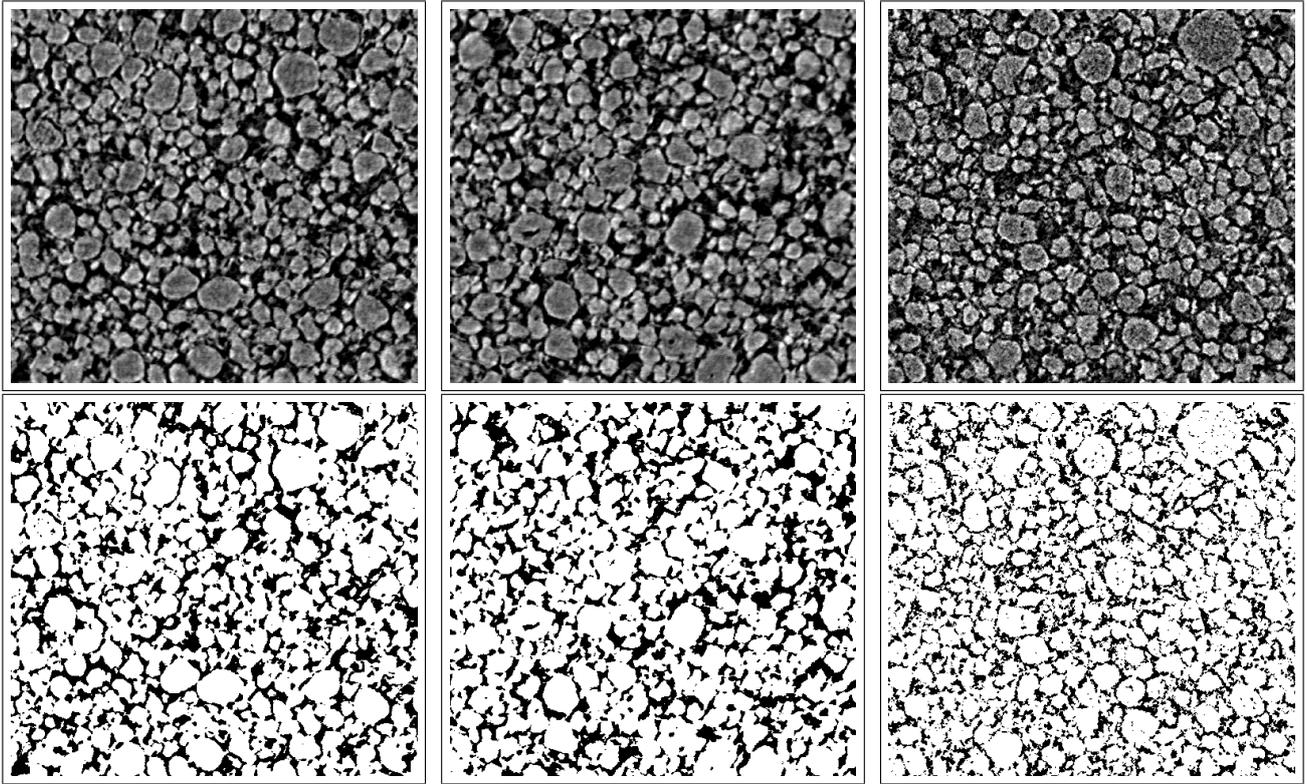


Figure 10. 2D slices from the reconstructed gray-scale (first row) and the binary (second row) images of P_C^1 (left), P_E^2 (center) and D_C^1 (right)



235 The detailed structural analysis explained below was possible due to the preparation and visualization
 236 techniques proposed in this paper.

237 5.1. Comparison of Structural Characteristics

238 The goal of this section is to obtain a quantitative comparison of the binary images P_C^1 , P_C^2 , P_E^1 , P_E^2 , D_C^1
 239 and D_C^2 by computing several structural characteristics for each of these images.

240 As a first structural characteristic we consider the porosity, which is the fraction of the volume of
 241 voids (i.e., volume of pore space) over the total volume [29]. The second characteristic is the specific
 242 surface area which specifies the total surface area of a material per unit volume [29].

Table 3. Porosity and specific surface area computed for six selected binary images of anode layers

| | P_C^1 | P_C^2 | P_E^1 | P_E^2 | D_C^1 | D_C^2 |
|---|---------|---------|---------|---------|---------|---------|
| porosity | 0.267 | 0.268 | 0.257 | 0.272 | 0.244 | 0.259 |
| specific surface area ($1/\mu\text{m}$) | 0.435 | 0.44 | 0.416 | 0.434 | 0.594 | 0.588 |

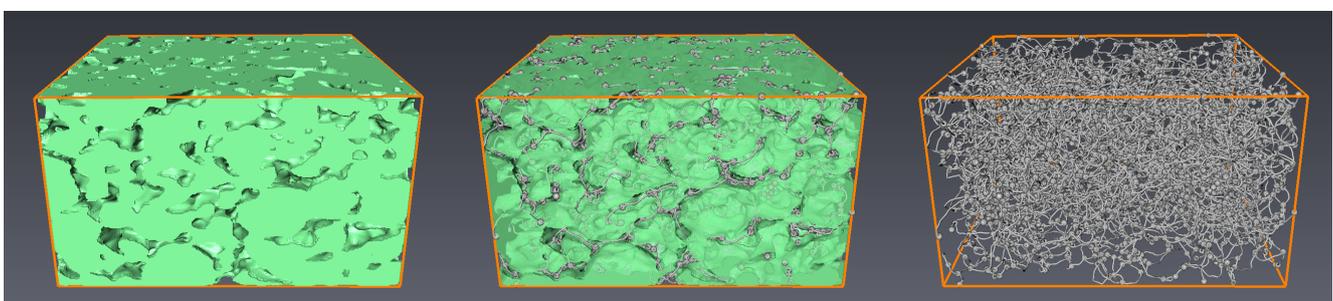
243 The results obtained for the porosity and the specific surface area are listed in Table 3. It turns out that
 244 the porosities of all considered samples are nearly identical. The same holds for the specific surface areas
 245 of the pristine electrodes (P_C^1 , P_C^2 , P_E^1 , P_E^2) whereas, contrarily, the specific surface areas of degraded
 246 electrodes are significantly higher than their counterparts of pristine electrodes. The microstructural
 247 characteristics of both degraded samples (D_C^1 , D_C^2) exhibit an almost perfect match.

248 For a more detailed characterization of the graphite and pore space, respectively, we consider the
 249 probability density function of so-called spherical contact distances from the pore to the graphite phase
 250 and vice versa [29]. This characteristic can be interpreted as some kind of pore (particle) size distribution.
 251 The spherical contact distance of a point located in the pore phase or the graphite phase, respectively, is
 252 given by the minimum distance to the complementary phase. Note that the considered density functions
 253 uniquely determine the probability that the spherical contact distance of a randomly chosen point located
 254 in the pore phase or the graphite phase, respectively, is within a certain interval. In summary, the
 255 spherical contact distance distribution provides a good measure for the 'typical' distances from pore
 256 to graphite phase and vice versa, cf. [29].

257 The computed probability density functions for the spherical contact distances from graphite to pore
 258 phase and vice versa are visualized in Figures 12(a) and 12(b), respectively. The corresponding mean
 259 values and variances are listed in Table 4. It turns out that the density functions for the spherical
 260 contact distances computed for ($P_C^1, P_C^2, P_E^1, P_E^2$) nearly coincide whereas a large discrepancy is observed
 261 between the results for pristine and degraded electrodes. In particular, for both the spherical contact
 262 distances from graphite to pore phase and vice versa, these distances are by trend smaller for the degraded
 263 electrodes compared to the pristine electrodes. This coherence can be explained by cracks and fractures
 264 in the microstructures of degraded electrodes. These deformations lead to a much finer dispersed graphite
 265 phase within the degraded electrodes whereas the graphite phase in the pristine electrodes is much more
 266 aggregated. This assumption is also supported by the visual impression of Figure 10.

267 Finally we focus on the so-called geometric tortuosity, see e.g. [30–32]. It evaluates the tortuosity of
 268 the pathways through the pore phase in through-plane direction. In particular, starting from a randomly
 269 chosen location on top of the porous material, the geometric tortuosity is defined as the random Euclidean
 270 length of its shortest path through the material along all possible paths within the pore space divided by
 271 the material thickness (in z -direction). For this purpose, the set of pore space paths is represented by
 272 a geometric 3D graph. This graph is computed using the skeletonization algorithm implemented in the
 273 software Avizo 7, see Figure 11.

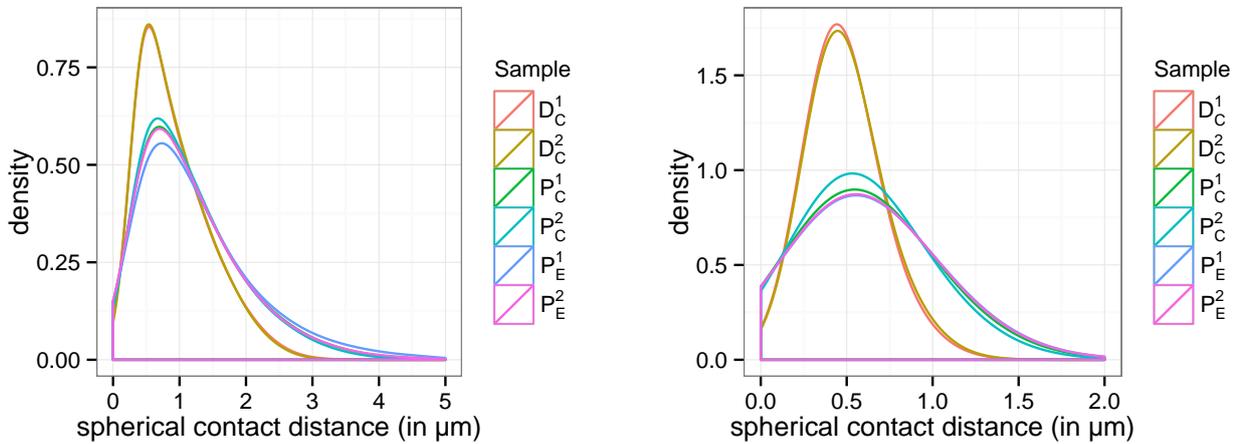
Figure 11. Extraction of pore space graph via skeletonization from a 3D binary image: binary image (left); solid phase and pore space graph (center); pore space graph (right)



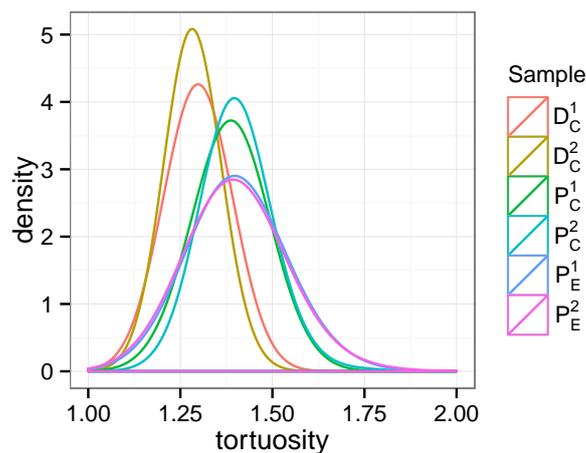
274 The computed probability density functions for the geometric tortuosity are visualized in Figure 12(c)
 275 whereas the corresponding mean values and variances are listed in Table 4. As result we again obtain that
 276 the differences of geometric tortuosity within both groups (i.e., pristine $P_C^1, P_C^2, P_E^1, P_E^2$ and degraded $D_C^1,$
 277 D_C^2 electrodes) are negligible. Moreover, there exist significant deviations between the two groups where
 278 the degraded electrodes have significantly smaller values of the length of shortest pathways through their

279 pore space. This can be again explained by the much finer dispersed graphite phase within degraded
 280 electrodes.

Figure 12. Probability density functions for structural characteristics of graphite layers



(a) Spherical contact distances from graphite to pore phase (b) Spherical contact distances from pore to graphite phase



(c) Geometric tortuosity

281 5.2. Summary

282 In this section we summarize the discussion of the results obtained by the structural analysis.
 283 It turns out that for all considered characteristics the differences within the pristine and degraded
 284 groups are negligible whereas a significant discrepancy between the two groups can be observed. In
 285 particular, we can conclude that it does not matter from which region of the tomograms the cutouts
 286 are extracted. This also indicates that the considered materials are perfectly homogeneous. Moreover,
 287 because of the structural differences between pristine and degraded electrodes we can conclude that
 288 synchrotron tomography is an adequate method to detect such changes. Thus, the proposed preparation
 289 and visualization techniques described in Sections 3 and 4 provides an excellent tool for a cost- and
 290 time-saving analysis of degradation processes in the microstructure of Li-ion cells.

Table 4. Mean and variance of the spherical contact distances for pore (sCDF_P) and graphite phase ($\text{sCDF}_{\text{graphite}}$) in μm as well as of the geometric tortuosity (tort) computed for six selected binary images of anode layers

| | P_C^1 | P_C^2 | P_E^1 | P_E^2 | D_C^1 | D_C^2 |
|--|---------|---------|---------|---------|---------|---------|
| sCDF_P mean | 1.176 | 1.152 | 1.275 | 1.184 | 0.92 | 0.915 |
| sCDF_P variance | 0.578 | 0.523 | 0.795 | 0.608 | 0.279 | 0.267 |
| $\text{sCDF}_{\text{graphite}}$ mean | 0.596 | 0.59 | 0.606 | 0.604 | 0.483 | 0.493 |
| $\text{sCDF}_{\text{graphite}}$ variance | 0.06 | 0.058 | 0.064 | 0.063 | 0.02 | 0.023 |
| tort mean | 1.386 | 1.403 | 1.405 | 1.401 | 1.298 | 1.284 |
| tort variance | 0.004 | 0.005 | 0.007 | 0.008 | 0.003 | 0.002 |

291 6. Conclusion

292 We successfully introduced a new preparation method for analysis of Li-ion graphite material using
293 synchrotron tomography. The complete procedure including cell disassembly, sample selection and
294 extraction as well as proposed efficient multilayer stacking were described in detail.

295 Due to discussed complex ageing behaviour of Li-ion cells many investigations have to be done to
296 gain a more detailed understanding. Particularly anode material is a key factor for cell-performance and
297 limitation of lifetime. Since the microstructure of active material is essential for ageing characteristics,
298 synchrotron tomography is an excellent method, because the resolution is high enough to detect the
299 shape of particles and the differences between particles and pores in all three dimensions.

300 The presented preparation method extends the advantages of synchrotron tomography by massively
301 parallel measurement of samples. This results in the possibility to compare different regions of a given
302 cell, enhance statistical data due to analyzing many samples from a similar area of a cell, compare
303 anode material from different manufactures or cells and in lower costs, because less measurements are
304 necessary.

305 As shown in Section 5 structural analysis pointed out that ageing causes significant changes in
306 microstructure of graphite material. Furthermore we found out that investigated samples from the
307 same cell do not significantly differ from a statistical point of view. Hence the method provides the
308 possibility to analyze homogeneity of used active material. On the other hand the difference between
309 pristine and aged cells, with respect to calculated characteristics, e.g. tortuosity and sizes of pores and
310 particles, is significant which leads us to more detailed analyses and investigations like (functional)
311 particle-based modelling to be done as future work. Furthermore structural characteristics of lithium
312 deposition, which can be made visible in synchrotron tomography using the method proposed in Section
313 2.4 will be investigated in a forthcoming paper.

314 **Acknowledgements**

315 Gerd Gaiselmann and Volker Schmidt gratefully acknowledge funding of the present research by the
316 German Federal Ministry for Education and Science (BMBF) in the framework of the priority program
317 'Mathematics for Innovations in Industry and Services' under grant number 05M13VUA.

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